

**ILLINOIS
CRIMINAL JUSTICE
INFORMATION
AUTHORITY**



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Meeting Notice

Budget Committee
Friday, September 16, 2016
2 p.m. to 4:30 p.m.
Michael A. Bilandic Building, 160 N. LaSalle, N-505
Chicago, Illinois

Agenda

Budget Committee

Cynthia Hora
Chair

Hon. Anita Alvarez
Vice Chair

Sheriff Tom Dart

Hon. Lisa Madigan

Director Leo Schmitz

Hon. Amy Campanelli

Paula Wolff

Pamela Paziotopoulos

- ▶ Call to Order and Roll Call

- 1. Minutes of the August 18, 2016 Budget Committee Meeting

- 2. Justice Assistance Grants (JAG)

- 3. Public Comment

- ▶ Old Business

- ▶ New Business

- ▶ Adjourn

**Illinois Criminal Justice
Information Authority**

Elizabeth Robb
Chair

Patrick Delfino
Vice Chair

John Maki
Executive Director

This meeting will be accessible to persons with disabilities in compliance with Executive Order #5 and pertinent State and Federal laws upon anticipated attendance. Persons with disabilities planning to attend and needing special accommodations should contact by telephone or letter Mr. John Klaer, Office of Administrative Services, Illinois Criminal Justice Information Authority, 300 West Adams Street, Suite 200, Chicago, Illinois 60606 (telephone 312/793-8550). TDD services are available at 312-793-4170.



**ILLINOIS
CRIMINAL JUSTICE
INFORMATION AUTHORITY**

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

MINUTES

**Illinois Criminal Justice Information Authority
Budget Committee Meeting
August 18, 2016, at 9 a.m.
300 West Adams, Suite 200
Large Conference Room
Chicago, Illinois 60606**

Call to Order and Roll Call

The Budget Committee of the Illinois Criminal Justice Information Authority met on Thursday, August 18, 2016, at 300 West Adams, Suite 200, Large Conference Room, in Chicago, Illinois. Authority Budget Committee Chair Cynthia Hora, representing Attorney General Lisa Madigan, called the meeting to order at 9:04 a.m. Authority General Counsel Angie Weis called the roll.

Authority members and designees present were:

Budget Committee Member Attendance	Present	Telephone	Absent
Jennifer Greene for Budget Comm. Vice Chair / Cook Co. State’s Attorney Anita Alvarez	X		
Daynia Sanchez-Bass for Cook Co. Public Defender Amy Campanelli	X		
Kathryn Dunne for Cook Co. Sheriff Tom Dart	X		
Cynthia Hora for Attorney General Lisa Madigan	X		
Pamela Paziotopoulos			X
James O’Grady for Illinois State Police Director Leo Schmitz	X		
Paula Wolff	X		
Other Authority Members Present	Present	Telephone	Absent
Authority Chair Judge Elizabeth Robb	X		
State’s Attorney’s Appellate Prosecutor’s Office Director Pat Delfino	X		

Also in attendance were:

Authority Executive Director John Maki
Authority Federal and State Grants Unit Associate Director Kevin Givens
Authority Program Supervisor Shai Hoffman
Authority Deputy Director Randy Kurtz
Authority Federal & State Grant Unit Administrative Assistant Jude Lemrow
Andy Munemoto, representing the Governor's Office of Management and Budget
Mark Payne, representing Ceasefire
Authority Research & Evaluation Center Manager Jessica Reichert
Authority Program Supervisor Greg Stevens
Authority General Counsel Angie Weis
Other Authority staff members and guests

Minutes of the May 12, 2016, Budget Committee Meeting

Ms. Hora requested that a correction to the minutes be made on Page 4 in the first sentence of the third paragraph. The correction should read (correction in *italics*):

“...the reason some VOCA grantees did not *receive a 25-percent* increase was...”

Motion: Ms. Wolff moved to approve the minutes of the May 12, 2016, Budget Committee Meeting, as corrected. Ms. Greene seconded the motion and the motion passed by unanimous voice vote.

Senate Bill 2047 Grants / Bullying Prevention & Ceasefire

Senate Bill 2047 Appropriation Summary

General Counsel Angie Weis said the language of Senate Bill 20147 limits the appropriations to cover grant costs incurred through December 31, 2016. However, the grants themselves could support programs until June 30, 2017. In this scenario, the Authority would have to provide advanced grant payments to the grantees prior to December 31, 2016, so that the Authority's costs would be incurred prior to December 31, 2016, even though the grantees would incur costs past that date. This would be contrary to best grant-making practices and would violate the Authority's policy stipulating a maximum advanced payment of 90 days.

Andy Munemoto, representing the Governor's Office of Management and Budget (GOMB), said GOMB's position was that advanced payments to grantees are allowable under law, if not under the Authority's policies. Safeguards against loss could be put in place, such as increased grantee reporting frequency.

A discussion ensued regarding the merits of making an advance payment for these programs. A consensus emerged it would violate Authority policy to make such an advance payment and that doing so would create potential for an adverse audit finding. It was further agreed that that this issue should be presented to the full Authority Board at the September 16, 2016, Regular Meeting.

Bullying Prevention & Ceasefire

Associate Director Kevin Givens called attention to a memo dated August 18, 2016, regarding the state fiscal year 2017 funding for Senate Bill 2047-supported programs. He described proposed designations of Bullying Prevention and Ceasefire program funds made available via the bill. A discussion ensued regarding the merits of funding these programs for six months.

Mark Payne, representing Ceasefire, explained how Ceasefire would expend the grant funds by December 31, 2016.

Executive Director John Maki, in response to a question by Ms. Dunne, said that further budgetary details regarding Ceasefire would be made available at the September 16, 2016, Regular Meeting.

Motion: Ms. Greene moved to approve the recommended SFY17 Bullying Prevention & Ceasefire fund designations. Ms. Sanchez-Bass seconded the motion and the motion passed by unanimous voice vote.

Justice Assistance Grants

U.S Bureau of Justice Assistance Special Conditions

Director Maki called attention to a memo dated August 18, 2016, regarding U.S. Bureau of Justice Assistance Special Conditions for Justice Assistance Grants Section 1373, 8 USC information. He said Authority staff worked with the National Criminal Justice Association and the Bureau on this issue, which resulted in the Bureau establishing special conditions for grantees affected by Section 1373, 8 USC, and identified in the inspector general's report, including the City of Chicago and Cook County, to allow their respective grants to run until June 30, 2017. This will provide time for affected grantees to work with ICJIA to come into compliance with the law, find ways to justify current policy and practice under federal law, or lobby Congress to change the law. A discussion ensued regarding possible strategies and preparations for the Authority and affected grantees to become compliant with the law.

No action on this item was necessary and none was taken.

Analysis: Metropolitan Enforcement Groups (MEGs) and Task Forces (TFs)

Authority Research & Evaluation Center Manager Jessica Reichert delivered a PowerPoint presentation entitled “MEG/TF Process & Outcome Evaluation preliminary findings.”

No action on this item was necessary and none was taken.

Justice Assistance Grants: FFY10-FFY15 Plan Adjustments

Mr. Givens called attention to a memo dated August 18, 2016, on FFY10-FFY15 Justice Assistance Grant plan adjustments. He described:

- FFY10 and FFY11 funds recently returned to the Authority.
- Recommended designations of FFY11-FFY15 funds for expanding multijurisdictional narcotics units /task force programs.
- Multijurisdictional drug prosecution programs.
- Other programs.

Program Supervisor Greg Stevens said the Grant Recommendation Report for the recommended designation to Be Well Partners in Health indicated a request for a matching funds amount less than the standard 25 percent and that the Grant Recommendation Reports for Haymarket Center and Winnebago County indicated requests for matching funds waivers. All three requests would continue the matching funds requirements for the existing grants for their respective programs.

Motion: Ms. Hora called for itemized motions to approve the plan adjustments described in the memo. All items received motions and seconds and all were unanimously approved, with noted abstentions.

Item / Grantee	Motion	Second	Abstentions
Designation Reductions	Ms. Wolff	Ms. Greene	None
Expanding Multijurisdictional Narcotics Units	Director Delfino	Ms. Greene	Mr. O’Grady
Multijurisdictional Drug Prosecution	Ms. Dunne	Hon. Robb	Director Delfino Ms. Greene
Be Well Partners in Health	Ms. Wolff	Ms. Greene	Ms. Dunne
Coles Co.	Ms. Wolff	Ms. Greene	None

Cook Co. Public Defender's Office	Ms. Greene	Ms. Wolff	Ms. Sanchez-Bass
Cook Co. State's Attorney's Office	Ms. Wolff	Director Delfino	Ms. Greene
Haymarket Center	Ms. Wolff	Ms. Greene	Ms. Dunne
Illinois Center of Excellence for Behavioral Health and Justice	Ms. Wolff	Ms. Dunne	Hon. Robb
Illinois Department of Juvenile Justice / Lake County State's Attorney's Office / State' Appellate Defender's Office	Ms. Greene	Ms. Dunne	None
State's Attorney's Appellate Prosecutor's Office	Ms. Sanchez-Bass	Ms. Greene	Director Delfino
Winnebago County Circuit Court	Ms. Sanchez-Bass	Ms. Dunne	None

Notices of Funding Opportunity

Illinois Partnerships to Reduce Violent Crime

Mr. Givens listed staff designation recommendations for the Illinois Partnerships to Reduce Violent Crime program. They included:

Name of Applicant	Jurisdiction Served	FFY14 Amount	Required Match
Rockford Metropolitan Agency for Planning	City of Rockford	\$155,894	\$51,965
City of Champaign	Cities of Champaign and Urbana	\$127,028	\$42,343
Totals:		\$282,922	\$94,307

Mr. Givens said staff would likely recommend two more designations for this program at the Budget Committee Meeting on September 16, 2016.

Medication-Assisted Treatment for Opioid Addiction

Mr. Givens said that at the January 19, 2016, meeting, the Budget Committee approved use of federal fiscal year 2013-2015 Residential Substance Abuse Treatment Act (RSAT) local funding for a competitive funding initiative for the selection of a county jail or detention center to implement a medication-assisted treatment program addressing opioid addiction. At that time, it was anticipated that RSAT local funds could provide one case management program for a two-year period. After the meeting, staff learned increased funding is needed to implement the program. Requested were \$557,786 in FFY13 JAG local formula set-aside funds to augment available RSAT funds designated for the program.

Motion: Ms. Wolff moved to approve the recommended Illinois Partnerships to Reduce Violent Crime Program designations and the use of \$557,786 in FFY13 JAG local formula set-aside funds to support jail-based medication-assisted treatment for opioid addiction programs through a competitive funding initiative. Ms. Sanchez-Bass seconded the motion and the motion passed by unanimous voice vote.

National Forensic Sciences Improvement Act

Mr. Givens called attention to a memo dated August 18, 2016, on the FFY16 National Forensic Sciences Improvement Act (NFSIA) Plan Introduction. He said that the Authority expects receipt of Illinois' FFY16 NFSIA award in the amount of \$313,276 by September 30, 2016. He said staff requested permission to make designations in anticipation of these funds in an effort to expedite their expenditure.

Mr. Givens said that NFSIA funds principally are used to purchase new lab equipment and support required proficiency testing, lab accreditation, and conference travel. In addition, the funds support staff overtime and contractual toxicology testing to help labs meet testing demand. Staff proposed using FFY16 NFSIA funding to support ongoing improvements at the Cook County Medical Examiner's Office and nine crime labs across Illinois. The following designations were recommended:

Facility	NFSIA FFY16
Illinois State Police	\$204,119
Northeastern Illinois Regional Crime Lab	\$29,160
DuPage County Crime Lab	\$29,160
Office of the Cook County Medical Examiner	\$29,160
Illinois Criminal Justice Information Authority administration costs	\$21,677
Total:	\$313,276

Mr. Givens said staff asked for permission to make across-the-board reductions of the recommended designations amounts in the event Illinois' award is less than expected. Mr.

Givens said staff will update the Budget Committee on any designation changes related to this item at the next Budget Committee meeting.

Motion: Judge Robb moved to approve the recommended FFY16 RSAT funding designations. Ms. Greene seconded the motion and the motion passed by unanimous voice vote with an abstention by Mr. O’Grady.

Prison Rape Elimination Act

Mr. Givens called attention to a memo dated August 18, 2016, on the FFY15 Prison Rape Elimination Act (PREA) Plan Introduction. He said that PREA was “enacted to address the problem of sexual abuse of persons in the custody of U.S. correctional agencies. PREA calls for federal, state, and local corrections systems to have a zero-tolerance policy regarding prison rape (as defined by PREA) in prisons, jails, police lock-ups, and other confinement facilities...” The Illinois Department of Corrections plans to open the Joliet Treatment Center in 2017 and IDOC seeks to improve security and prevention at the facility by increasing surveillance capabilities. Staff recommended designating the entire FFY15 PREA award, \$119,942, to IDOC to purchase security cameras and peripheral equipment for its Inmate Sexual Assaults Prevention and Intervention Program.

A discussion ensued regarding PREA facility assessment and compliance with federal guidelines.

Motion: Ms. Wolff moved to approve the recommended FFY16 PREA fund designation. Judge Robb seconded the motion and the motion passed by unanimous voice vote.

Residential Substance Abuse Treatment Act

Mr. Givens called attention to a memo dated August 18, 2016, regarding the FFY13-16 Residential Substance Abuse Treatment Act plans. He introduced recommended designation reductions and new designations. The Authority expects receipt of the FFY16 RSAT award to Illinois in the amount of \$334,121 by September 30, 2016. He said staff requested permission to make designations in anticipation of these funds in an effort to expedite their expenditure. After deducting \$27,591 for administrative costs, \$306,530 is expected to be available for grant-making. He said the Budget Committee would be updated on designation changes related to this item at the next Budget Committee meeting.

Local-Use Funds

Mr. Givens said to maximize impact of available funds, staff is supplementing RSAT local funds with \$557,786 in FFY13 JAG local dollars to support at least two comprehensive medication assisted treatment for opioid addiction programs. A competitive process is under way to identify agencies for program implementation.

State-Use Funds

Mr. Givens said that RSAT funds may only be used for jail and corrections-based assessment and treatment of incarcerated persons or for aftercare programs. RSAT Program guidelines state treatment must be provided during the last three months of a jail sentence or the last six months of a prison sentence. The programs recommended for funding provide screening and assessment for juvenile males at Illinois Youth Center-St. Charles and juvenile drug treatment at Illinois Youth Center-Harrisburg and IYC-St. Charles. RSAT funding also was recommended to support ongoing implementation of the dual-diagnosis treatment program for incarcerated women at the Logan Correctional facility. All treatment and assessment services are evidence-based, provided through vendor contracts that are competitively bid and meet all staff education and certification standards. Staff recommended a total of \$208,987 in FFY16 designations to support these programs.

Motion: Judge Robb moved to approve the recommended FFY13-16 Residential Substance Abuse Treatment Act plan adjustments. Mr. Delfino seconded the motion and the motion passed by unanimous voice vote.

Sex Offender Registration and Notification Act

Mr. Givens called attention to a memo dated August 18, 2016, on the FFY16 Sex Offender Registration and Notification Act (SORNA) Plan Introduction. He said that the Authority expects receipt of the FFY16 SORNA award to Illinois in the amount of \$249,593 by September 30, 2016. He said staff requested permission to make designations in anticipation of these funds in an effort to expedite their expenditure. Mr. Givens said the Budget Committee would be updated on designation changes related to this item at the next Budget Committee meeting.

Mr. Givens said staff recommended designating the SORNA funds to the Illinois State Police to support its electronic filing system. Mr. Hoffman said the funds would support ISP staff overtime incurred by digitization/scanning of paper records.

Motion: Ms. Dunne moved to approve the recommended FFY16 SORNA fund designation. Ms. Sanchez-Bass seconded the motion and the motion passed by unanimous voice vote with abstentions from Mr. O’Grady and Ms. Wolff.

Public Comment

None.

Old Business

None.

New Business

None.

Adjourn

Motion: Ms. Sanchez-Bass moved to adjourn the meeting. Ms. Wolff seconded the motion and the motion passed by unanimous voice vote. The meeting was adjourned at 11:13 a.m.



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300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

MEMORANDUM

TO: Budget Committee Members

FROM: Kevin Givens, Associate Director, Federal & State Grants Unit

DATE: September 16, 2016

RE: **FFY14 Justice Assistance Grants Plan Adjustment**

RECOMMENDED DESIGNATIONS

Notices of Funding Opportunity

Illinois Partnerships to Reduce Violent Crime Notice of Funding Opportunity Designation Requests

At the May 12, 2016, Budget Committee meeting, the committee set aside \$800,000 in FFY14 Justice Assistance Grant (JAG) funds to support the Illinois Partnerships to Reduce Violent Crime Notice of Funding Opportunity. The goal of the Illinois Partnerships to Reduce Violent Crime program is to reduce violent crime and strengthen the relationship between law enforcement and communities by completing the Problem-Oriented Policing Scanning, Analysis, Response and Assessment (SARA) process, building local research and evaluation capacity, and implementing procedural justice and focused deterrence. Initial grants will be awarded for the assessment process. Subsequent grants will be awarded for training and implementation.

Having reviewed and scored the funding opportunity submissions, staff recommends the following designations:

Name of Applicant	Jurisdiction Served	FFY14 Amount	Required Match
Springfield Police Department	City of Springfield	\$121,665	\$40,555
City of Peoria	City of Peoria	\$193,063	\$64,354
Totals:		\$314,728	\$104,909

Previously designated for this initiative were FFY14 JAG funds in the amounts of \$155,894 to the Rockford Metropolitan Agency for Planning and \$127,028 to the City of Champaign, approved at the August 18, 2016 Budget Committee meeting.

MATCHING FUNDS REQUIREMENT WAIVER REQUEST

Since the approval of the designation to the Rockford Metropolitan Agency for Planning (RMAP) at the August 18, 2016, Budget Committee meeting for its Illinois Partnerships to Reduce Violent Crime program, RMAP has requested a JAG match waiver based on the loss of state fiscal year 2017 general revenue funding. No change in the federal designation is requested. RMAP's request for match waiver and a revised designation recommendation are attached.

Staff will be available at the meeting to answer questions.

BUDGET COMMITTEE GRANT RECOMMENDATION REPORT

Program Name: Illinois Partnerships to Reduce Violent Crime – City of Springfield

Funding Source: FFY14 Justice Assistance Grant: \$121,665; 25 % Local Match

Program Description

The purpose of the Illinois Partnerships to Reduce Violent Crime program is to reduce violent crime and strengthen the relationship between law enforcement and communities by completing the Problem-Oriented Policing SARA (Scanning, Analysis, Response and Assessment) assessment process, building local research and evaluation capacity, and implementing procedural justice and focused deterrence. Initial grants will be awarded for the assessment process. Subsequent grants will be awarded for training and implementation.

Program Activities

This program supports local multidisciplinary teams (MDT) consisting of the city mayor, law enforcement, local and federal prosecutors, community corrections (probation, parole), and community stakeholders to enhance effective working relationships with community, criminal justice, and social service agencies; collaborate across criminal justice agencies; and conduct crime analyses using the Problem-Oriented Policing SARA. During the planning phase this program funds may be allocated to:

1. A full-time Project Manager to facilitate leadership development and cross system collaboration, engage in the SARA assessment process for the MDT in partnership with an identified research partner, and develop an implementation plan.
2. A research partner to assist the MDT in conducting crime analysis and developing an implementation plan that includes data collection to facilitate a process and outcome evaluation.
3. Travel within Illinois to attend regional networking and information-sharing events.

Goals

The goals of the program are to reduce group-related violent crime through strengthened governmental collaboration and increase trust between law enforcement and the communities they serve.

Priorities

This program addresses the Justice Assistance Grant Law Enforcement priority of “support[ing] programs which provide law enforcement agencies throughout the state with the necessary means to deter, combat, and investigate crime through strategies, staffing, training, and equipment that promotes public safety and the common good.”

Funding Prospectus

The initial grant period will be for 12 months with a possibility to renew contingent on satisfactory progress in the preceding performance period.

Past Performance

This is a new program. No prior performance can be reported.

Budget Detail

Personnel and fringe benefits	\$0
Equipment	\$1,200
Commodities	\$1,000
Travel	\$3,025
Contractual : One Program Manager (\$34,375) Sole source research partner with Southern Illinois University (\$116,020) One Social Service Coordinator (\$6,600)	\$156,995
Total:	\$162,220

Sole Source Subcontractor Budget

Personnel and fringe benefits	\$62,279
Equipment	\$0
Commodities	\$22,984
Travel	\$8,561
Contractual : Sole source research partner with Southern Illinois University	\$22,196
Total:	\$116,020

For Internal Use :

Projected Start Date	October 2016	Total months of funding including this designation	Twelve months
Funding Source	JAG FFY14	Funding Source	\$ 121,665.00
Required Match % of Designation Total	25%	Recommended Maximum Designation Amount	\$ 121,665.00
Implementing Agency	City of Springfield	Program Agency	Springfield Police Dept.
Program Title	Illinois Partnerships to Reduce Violent Crime	Project Name (if applicable)	Illinois Partnerships to Reduce Violent Crime
Purpose Area (JAG only)	LAW ENFORCEMENT	Formula Category (if applicable)	Local
JAG Priority Area	Law Enforcement	JAG Sub Priority	N/A

BUDGET COMMITTEE GRANT RECOMMENDATION REPORT

Program Name: Illinois Partnerships to Reduce Violent Crime – City of Peoria

Funding Source: FFY14 Justice Assistance Grant: \$193,063; 25% Local Match

Program Description

The purpose of the Illinois Partnerships to Reduce Violent Crime program is to reduce violent crime and strengthen the relationship between law enforcement and communities by completing the Problem-Oriented Policing SARA (Scanning, Analysis, Response and Assessment) assessment process, building local research and evaluation capacity, and implementing procedural justice and focused deterrence. Initial grants will be awarded for the assessment process. Subsequent grants will be awarded for training and implementation.

Program Activities

This program supports local multidisciplinary teams (MDT) consisting of the city mayor, law enforcement, local and federal prosecutors, community corrections (probation, parole), and community stakeholders to enhance effective working relationships with community, criminal justice, and social service agencies; collaborate across criminal justice agencies; and conduct crime analyses using the Problem-Oriented Policing SARA. During the planning phase this program funds may be allocated to:

1. A full-time Project Manager to facilitate leadership development and cross system collaboration, engage in the SARA assessment process for the MDT in partnership with an identified research partner, and develop an implementation plan.
2. A research partner to assist the MDT in conducting crime analysis and developing an implementation plan that includes data collection to facilitate a process and outcome evaluation.
3. Travel within Illinois to attend regional networking and information-sharing events.

Goals

The goals of the program are to reduce group-related violent crime through strengthened governmental collaboration and increase trust between law enforcement and the communities they serve.

Priorities

This program addresses the Justice Assistance Grant Law Enforcement priority of “support[ing] programs which provide law enforcement agencies throughout the state with the necessary means to deter, combat, and investigate crime through strategies, staffing, training, and equipment that promotes public safety and the common good.”

Funding Prospectus

The initial grant period will be for 12 months with a possibility to renew contingent on satisfactory progress in the preceding performance period.

Past Performance

This is a new program. No prior performance can be reported.

Budget Detail

Personnel and fringe Benefits	\$88,555
Equipment	\$509
Commodities	\$412
Travel	\$4,033
Contractual: Sole source research partner with University of Illinois	\$188,109
Total:	\$281,618

Sole Source Subcontractor Budget

Personnel and fringe Benefits	\$133,798
Equipment	\$0
Commodities	\$300
Travel	\$4870
Contractual	\$0
Other/Indirect Costs	\$49,141
Total:	\$188,109

For Internal Use:

Projected Start Date	October 2016	Total months of funding including this designation	Twelve Months
Funding Source	JAG FFY14	Funding Source	\$193,063.00
Required Match % of Designation Total	25%	Recommended Maximum Designation Amount	\$193,063.00
Implementing Agency	City of Peoria	Program Agency	Peoria Police Dept.
Program Title	Illinois Partnerships to Reduce Violent Crimes	Project Name (if applicable)	Illinois Partnerships to Reduce Violent Crime
Purpose Area (JAG only)	LAW ENFORCEMENT	Formula Category (if applicable)	Local
JAG Priority Area	Law Enforcement	JAG Sub Priority	N/A



September 1, 2016

RE: Waiver Request for Illinois Criminal Justice Authority Partnerships and Strategies to Reduce Violent Crime FY 16
- Request for Proposals

This letter is written on behalf of the Rockford Metropolitan Agency for Planning (RMAP) to request a hardship waiver of the match requirement due to fiscal hardship that has befallen RMAP, the City of Rockford and the University of Illinois. RMAP has been operating on a budget that has not received the state portion (16%) of its funding since July 1, 2015. Despite this extreme financial hardship, the organization has had to maintain the same level of service and work output as it did previously. This is because as a Metropolitan Planning Organization (MPO), RMAP is required to have a Unified Work Program, which is a contractual agreement between the organization's State, Federal and Local governments in which RMAP is obligated to produce specific work elements. Despite the State's lack of funding toward RMAP's operations, the organization is still legally bound as the MPO to produce these same elements to satisfy its obligation to the other contractual parties.

To mitigate this hardship, RMAP has initiated an austerity budget where staff are trying to meet demands with less resources. In addition, the organization has gone into a line of credit with its fiscal agent, the City of Rockford. Unfortunately, the City is struggling with its own hardships. The City of Rockford is making progress in improving its financial situation since the recent recession, but continues to face major challenges. Last fall, the City faced a \$950,000 deficit, but a workaround in a budget amendment shifted \$750,000 from a surplus in the city sanitation fund to handle the deficit. That, and adjustments made by the city finance department, resulted in a balanced budget, and City Council adopted a \$127.9 million spending plan for 2016.

Financial challenges identified in upcoming years include fully funding capital equipment as part of the City's annual budget and maintaining staffing levels. The 2011 and 2012 budgets required capital equipment purchases due to equipment reaching its life expectancy. The City Council approved a vehicle leasing policy to implement the replacement of vehicles over a four year period for all City departments to meet the needs of the aging fleet which was amended in 2014, 2015 and suspended altogether in 2016. The City has also reduced personnel costs by reducing city employee levels from 1212 in 2009 to 1071 in 2016.

The City of Rockford is similar to many municipalities because it has limited resources to devote to the various challenges facing it. However, because Rockford is a non-home rule municipality, it has fewer options than other cities in Illinois of similar size. The budget appropriation in 2016 is \$253.5 million for all funds, a decrease of \$21.3 million from the 2015 appropriation of \$274.8 million.

The City of Rockford's financial hardship is not likely to resolve soon without assistance from outside the community. The City of Rockford's General Fund budget estimates for future years, produce the following excesses or deficits of revenues over expenditures.

Year	Excess (Deficit)	Percent of Total Budget (In 000's)
2017	(3,227)	-2.5%
2018	(3,153)	-2.4%
2019	(4,252)	-3.1%
2020	(5,604)	-4.0%
2021	(7,177)	-5.1%

This five-year budget forecast indicates annual deficits for the entire period.

Since the City has operated with a surplus for most of the last two decades, it may be that actual revenues will again exceed budg-



ets and departments will under expend their budgets. This scenario may eliminate these initial deficits, but the uncertainty makes planning funding for new initiatives, particularly committing match funding for grant programs, difficult.

RMAP does not foresee improvements in the funding situation for itself or its fiscal agent, the City of Rockford within the next year. Without a waiver to the match requirement, it is likely the proposed program will not be able to move forward. RMAP therefore respectfully requests a waiver of the grant's stated match requirements.

Thank you for your consideration,

Dana Northcott
Executive Director